

FACTS	WHAT DOES BATES FINANCIAL GROUP, INC. DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and transaction history ▪ Income and account balance ▪ Investment experience and risk tolerance <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Bates Financial Group, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Bates Financial Group, Inc. share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	No
For our marketing purposes – to offer our products and services to you	YES	No
For joint marketing with other financial companies	YES	No
For our affiliates' everyday business purposes – information about your transactions and experiences	YES	No
For our affiliates' everyday business purposes – information about your creditworthiness	NO	We don't share
For our affiliates to market to you	NO	We don't share
For nonaffiliates to market to you	NO	We don't share

Questions?	Call: (815) 332-4020 or write: 8437 Northern Avenue Rockford, IL 61107
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Who we are**Who is providing this notice?****BATES FINANCIAL GROUP, INC.****What we do****How does Bates Financial Group, Inc. protect my personal information?**

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. When we share information with third parties, we hold all such parties to the same or higher standards as we do ourselves regarding the privacy of your information.

How does Bates Financial Group, Inc. collect my personal information?

We collect your personal information, for example, when you

- Open an account or enter into an investment advisory contract
- Seek advice about your investments
- Seek financial or tax advice
- Tell us about your investment or retirement portfolio

We also collect your personal information from others, such as affiliates or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our affiliates include our parent company QCR Holdings, Inc., financial companies such as Quad City Investment Advisors, LLC, the Bates Financial group of investment-related companies, and m2 Lease Funds, and our affiliate banks: Quad City Bank & Trust, Cedar Rapids Bank & Trust Company, including its Community Bank & Trust Company division, Rockford Bank & Trust Company, Springfield First Community Bank, and Community State Bank.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Bates Financial Group, Inc. does not share with nonaffiliates so they can market to you.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Bates Financial Group, Inc. does share with mutual fund companies for joint marketing purposes.*